



Attorney Docket No.: VNI-005.CIP

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Pounds et al.

Serial No.: 09/843,642

Filed: April 25, 2001

For: Systems and Methods for Multiple Mode  
Voice and Data Communications Having  
Intelligently Bridged TDM and Packet Buses  
and Telephony Station Cards Including  
Voltage Generators

Art Unit: 2661

Examiner: Eng, G.

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.: 09/055,072

Serial No.: 09/055,036

Filing Date: April 3, 1998

Filing Date: April 3, 1998

Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following documents(s):

(a) ☐ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents."

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) ☐ Within 3 months of the filing date or date of entry into the National Stage.

(b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251 for consideration of this Statement.

(c) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.

(1) ☐ The required certification is given below, or

(2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or

(3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-0251.

(d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.

(1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or

(2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-0251.

4. Certification (if applicable)

(a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in

a counterpart foreign application not more than 3 months prior to the filing of this Statement.

- (b) \_\_\_\_\_ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-0251.

Respectfully submitted,

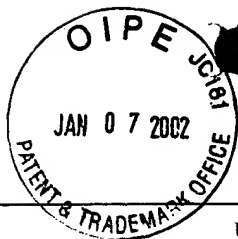
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I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to the Assistant Commissioner for Patents, Washington, DC 20231 this 19th day of November, 2001.

*Karen A. Rogers*  
By: Karen A. Rogers



Form PTO-1449  
(REV. 7-92)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Attorney's Docket Number:  
VNI-005.CIP

Serial No.:  
09/843,642

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

Applicant(s): PICKETT

Filing Date: April 25, 2001

Group Art Unit: 2661

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## **U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5	1	9	5	0	8	6	3/1993	Baumgartner et al.	370	264	
	5	3	3	3	2	6	6	7/1994	Boaz et al.	709	206	
	5	4	7	5	7	3	8	12/1995	Penzias	379	67	
	5	4	7	9	4	1	1	12/1995	Klein	379	88	
	5	5	3	3	0	1	8	7/1996	DeJager et al.	370	352	
	5	5	3	3	1	1	0	7/1996	Pinard et al.	379	201	
	5	5	4	1	9	2	1	9/1996	Swenson et al.	370	376	
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	5	6	5	2	7	8	9	7/29/97	Miner et al.	379	201	
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	5	6	8	9	5	5	3	11/1997	Ahuja et al.	379	202	
	5	7	6	1	2	0	1	6/1998	Vaudreuil	370	392	
	5	7	7	8	0	5	8	7/1998	Gavin	379	225	
	5	8	0	9	0	2	1	9/15/98	Diaz et al.	370	364	
	5	8	0	9	4	9	3	9/1998	Ahamed et al.	706	52	
	5	8	1	2	7	9	5	9/1998	Horovitz et al.	709	245	
	5	8	2	1	9	3	7	10/1998	Tonelli et al.	345	356	
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	5	8	8	7	1	3	9	3/1999	Madison Jr. et al.	709	223	
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	6	0	5	5	5	7	4	4/2000	Smorodinsky	709	226	
	6	1	5	4	4	6	5	11/2000	Pickett	370	466	
	6	1	8	1	6	9	4	1/2001	Pickett	370	353	

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Black, ATM Foundation For Broadband Networks, PP: 137-152, 170-173 (1995)
	Stallings, William, SNMP, SNMPv2, SNMPv3, and RMON 1 and 2 third edition, PP: 41-43, 76-77, 80-81, 85-86, 99-101, 110, 138-141, 43-144, 150-152, 155-157, 179, 181-183 (1996)

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.